

**EXHIBIT D - NOTICE OF PRIVACY PRACTICES**

**REDWOOD TOXICOLOGY LABORATORY – NOTICE OF PRIVACY PRACTICES**

**EFFECTIVE DATE: 28 SEPTEMBER 2018**

**THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.**

As required by the Health Insurance Portability and Accountability Act (**HIPAA**), Redwood Toxicology Laboratory (**RTL, we, us or our**) is providing you with this Notice of Privacy Practices (**Notice**) describing (1) the uses and disclosures of health information that may be made by RTL, (2) your rights under HIPAA, and (3) RTL's legal obligations with respect to your health information. **Health information** includes individually-identifiable medical, insurance, demographic and medical payment information, such as information about your diagnosis, medications, insurance status, medical claims history and policy information. RTL is committed to protecting the privacy and confidentiality of your health information.

**SCOPE**

Redwood Toxicology Laboratory is a hybrid entity under HIPAA, meaning that it is a single legal entity with business functions that include health care related activities and non-health care related activities. Only the information that RTL creates or receives related to its laboratory services provided for treatment purposes and for which RTL electronically bills a public or private health plan are subject to the HIPAA requirements and this Notice.

ALL OTHER FUNCTIONS OF, AND SERVICES PROVIDED BY, RTL ARE **NOT** SUBJECT TO HIPAA AND/OR THE TERMS OF THIS NOTICE.

**YOUR RIGHTS**

When it comes to your health information, you have certain rights. This section explains your rights and some of RTL's responsibilities to help you. You may exercise these rights by writing to our Privacy Officer at the address or email address provided at the end of this Notice.

**GET A COPY OF YOUR MEDICAL RECORD**

- You can ask to see or get an electronic or paper copy of your medical record and other health information we have about you.
- We will provide a copy or a summary of your health information, usually within 30 days of your request. We may charge a reasonable, cost-based fee. There are some situations where we may say “no” to your request, but we’ll tell you why in writing within 30 days, and you may have the right to have this decision reviewed.

**ASK US TO CORRECT YOUR MEDICAL RECORD**

- You can ask us to correct health information about you that you think is incorrect or incomplete.
- We will respond to your request, usually within 60 days. There are some situations where we may say "no" to your request, but we'll tell you why in writing within 60 days and allow you to submit a written statement of disagreement.

## **REQUEST CONFIDENTIAL COMMUNICATIONS**

- You can ask us to contact you in a specific way (for example, home or office phone) or to send mail to a different address.
- We will say "yes" to all reasonable requests. However, we may condition this accommodation by asking you for information as to how payment will be handled or specification of an alternative address or other method of contact.

## **ASK US TO LIMIT WHAT WE USE OR SHARE**

- You can ask us not to use or share certain health information for treatment, payment, or our operations. Your request must state the specific restriction requested and to whom you want the restriction to apply. We are not required to agree to your request, and we may say "no" if it would affect your care.
- If you pay for a service or health care item out-of-pocket in full, you can ask us not to share that information for the purpose of payment or our operations with your health insurer. We will say "yes" unless a law requires us to share that information or it is needed to provide emergency treatment.

## **GET A LIST OF THOSE WITH WHOM WE'VE SHARED INFORMATION**

- You can ask for a list (i.e., an accounting) of the times we've shared your health information for six years prior to the date you ask (or a shorter time period that you request), who we shared it with, and why.
- We will include all the disclosures except for those about treatment, payment, and health care operations, and certain other disclosures (such as any you asked us to make). We will provide one accounting a year for free but will charge a reasonable, cost-based fee if you ask for another one within 12 months.
- We will respond to your request, usually within 60 days.

## **GET A COPY OF THIS NOTICE OF PRIVACY PRACTICES**

You can ask for a paper copy of this Notice at any time, even if you have agreed to receive the notice electronically. We will provide you with a paper copy promptly.

## **FILE A COMPLAINT IF YOU FEEL YOUR RIGHTS ARE VIOLATED**

- You can complain if you feel we have violated your rights by contacting us using the information at the end of this notice.
- You can file a complaint with the U.S. Department of Health and Human Services Office for Civil Rights by sending a letter to 200 Independence Avenue, S.W., Washington, D.C. 20201, calling 1-877-696-6775, or visiting [www.hhs.gov/ocr/privacy/hipaa/complaints/](http://www.hhs.gov/ocr/privacy/hipaa/complaints/).
- We will not retaliate against you for filing a complaint.

## YOUR CHOICES

For certain health information, you can tell us your choices about what we share. If you have a clear preference for how we share your information in the situations described below, tell us what you want us to do, and we will follow your instructions.

In these cases, you have both the right and choice to tell us to:

- Share information with your family, close friends, or others involved in your care;
- Share information in a disaster relief situation;

*If you are not able to tell us your preference, for example if you are unconscious, we may go ahead and share your information if we believe it is in your best interest. We may also share your information when needed to lessen a serious and imminent threat to health or safety.*

In these cases we never share your information unless you give us written permission:

- Most marketing purposes;
- Sale of your information;
- Most sharing of psychotherapy notes.

In the case of fundraising:

- We may contact you for fundraising efforts, but you can tell us not to contact you again.

## RTL'S USES AND DISCLOSURES OF YOUR HEALTH INFORMATION

### TREATMENT, PAYMENT AND HEALTH CARE OPERATIONS

We use and share your health information for treatment, payment, and health care operations purposes as described below.

- **Treatment.** We can use your health information to treat you and share it with other health care professionals who are treating you.

*Example: We use your health information to perform laboratory tests and report results to your doctor.*

- **Payment.** We can use and share your health information to obtain reimbursement from health plans or other entities for health care services and determine your eligibility or coverage for such services.

*Example: We disclose your health information to your health insurance plan in order to obtain payment for our laboratory tests.*

- **Health Care Operations.** We can use and share your health information to run and improve our Laboratory Information Systems, conduct business planning and development activities, and contact you when necessary.

*Example: We use health information about you to review and audit our laboratory information management system.*

## **OTHER USES AND DISCLOSURES**

As described below, we are also allowed or required to share your information in other ways that usually contribute to the public good, such as public health and research. We must meet many conditions in the law before we can share your health information for these purposes.

For more information, please visit:

[www.hhs.gov/ocr/privacy/hipaa/understanding/consumers/index.html](http://www.hhs.gov/ocr/privacy/hipaa/understanding/consumers/index.html).

- **Public Health and Safety Activities.** We can share health information about you in certain situations such as:
  - Preventing disease;
  - Helping with product recalls or tracking FDA-regulated products;
  - Reporting adverse reactions to medications or medical device adverse events;
  - Reporting suspected abuse, neglect or domestic violence;
  - Preventing or reducing a serious threat to anyone's health or safety.
- **Research.** We can use or share your information for health research.
- **Comply with the Law.** We will share information about you if state or federal laws require it, including with the Department of Health and Human Services if it wants to verify that we are complying with HIPAA.
- **Respond to Organ and Tissue Donation Requests.** We can share health information about you with organ procurement organizations.
- **Work with a Medical Examiner or Funeral Director.** We can share health information with a coroner, medical examiner or funeral director when an individual dies.
- **Address Workers' Compensation, Law Enforcement and Other Government Requests.** We can use or share health information about you:
  - For workers' compensation claims;
  - For law enforcement purposes or with a law enforcement official;
  - With health oversight agencies for activities authorized by law;
  - For special government functions such as military, national security and presidential protective services.
- **Respond to Lawsuits and Legal Actions.** We can share health information about you in response to a court or administrative order, or in response to a subpoena.

## **RTL'S RESPONSIBILITIES**

- We are required by law to maintain the privacy and security of your health information.
- We will let you know promptly if a breach occurs that may have compromised the privacy or security of your information.
- We must follow the duties and privacy practices described in this notice and give you a copy of it.
- We will not use or share your information other than as described here unless you tell us we can in writing. If you tell us we can, you may change your mind at any time. Let us know in writing if you change your mind.

For more information, please visit  
[www.hhs.gov/ocr/privacy/hipaa/understanding/consumers/noticepp.html](http://www.hhs.gov/ocr/privacy/hipaa/understanding/consumers/noticepp.html).

## **CHANGES TO THE TERMS OF THIS NOTICE**

We can change the terms of this notice, and the changes will apply to all information we have about you. The new notice will be available upon request, in our office, and on this website.

## **CONTACT RTL / RTL PRIVACY OFFICIAL**

If you have any questions regarding this Notice or RTL's use and disclosure of your health information, you may obtain additional information by:

### **Writing to...**

HIPAA Privacy Officer  
Redwood Toxicology Laboratory  
3200 Windy Hill Rd Suite 350 E  
Atlanta, GA 30318

### **Emailing...**

[ARDx\\_PrivacyOfficer@Alere.com](mailto:ARDx_PrivacyOfficer@Alere.com)